

06-05-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

CHECK BOX, if applicable: ☐ DUPLICATE  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.:

09775810-35

First Named Inventor:

Robert Michael LUND, et al.

Title of Invention:

System for Correlating a Subscriber Unit  
With a Particular Subscriber in a Passive  
Optical Network

Express Mail Label No.:

EL654782284US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
BOX Patent Application  
Washington, DC 20231

1. ☐ This Form includes the Fee Transmittal (See Box 18)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification *Total Pages* 10
4. ☒ Drawing(s) (35 USC 113) *Total Sheets* 2  
(Figs. 1 and 2)
5. ☐ Declaration and Power of Attorney *Total Pages* \_\_\_\_\_
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - c. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting Inventor(s)  
named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R in duplicate; or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s)) *Total Pages* \_\_\_\_\_
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy Assignment Papers from a prior application
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ IDS By Applicant (PTO/SB/08B)
  - b. ☐ Copy of IDS Citation is enclosed
  - c. ☐ Copy IDS Citations from a prior application
13. ☐ Preliminary Amendment " " *Total Pages* \_\_\_\_\_
14. ☒ Return Receipt Postcard (properly addressed; postage prepaid)
15. ☐ Certified copy of \_\_\_\_\_ Priority Document:  
Document No. \_\_\_\_\_, filed on \_\_\_\_\_.
16. ☐ Request and Certification under 35 USC 122
  - a. ☐ Non-publication Request (PTO/SB/35 or equivalent)
  - b. ☐ Publication Request (PTO/SB/35 or equivalent)
17. ☒ Other:
  - a. ☒ Express Mail Certificate (No. EL654782284US)

18. ☐ CONTINUING APPLICATION. If continuing application, check appropriate box and supply the requisite information:
  - a. ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_
  - b. ☐ Prior application information: Examiner Name: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_
  - c. ☐ The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$355.00
	TOTAL CLAIMS	4	0	\$9.00	\$0.00
	INDEPENDENT CLAIMS	1	0	\$40.00	\$0.00
	ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00
				TOTAL FILING FEE	\$0.00

- d. ☐ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.
- e. ☐ A check including the amount of \$0.00 to cover the filing fee is enclosed.
- f. ☐ A check including the amount of \$0.00 to cover the claim fee is enclosed.

19. ☒ CORRESPONDENCE ADDRESS:

SONNENSCHN NATH & ROSENTHAL  
P.O. Box 061080  
Wacker Drive Station, Sears Tower  
Chicago, IL, USA 60606-1080

DATE: June 4, 2001

SIGNATURE



Eric N. Kohli (Reg. No. 43,726)  
Phn: (312) 876-8000 Fax: (312) 876-2388  
Customer Number: 026263

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Date of Deposit: June 4, 2001

I hereby certify that this correspondence is being deposited with the United States Postal  
"Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above  
and is addressed to The Assistant Commissioner for Patents, Box Patent Application,  
Washington, D.C. 20231.

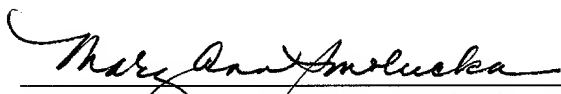
Utility Patent Application of Robert Michael LUND, Chris D. KOCH, Milton Jay JOHNSON,  
Joel Kraig LAGERQUIST, Daniel R. OELKE, Jeffrey T. KAYS, Joseph J. KNUDSEN,  
Steven John TALUS entitled " SYSTEM FOR CORRELATING A SUBSCRIBER UNIT WITH  
A PARTICULAR SUBSCRIBER IN A PASSIVE OPTICAL NETWORK " (Consisting of 10  
pages)

Utility Patent Application Transmittal Letter

Drawings: 2 Sheets (Figs. 1 and 2)

Return Receipt Postcard

Attorney Docket No.: 09775810-35

  
\_\_\_\_\_  
Signature of Person Mailing Application and Fees